



GRC Consulting Services
You cannot manage what you do not monitor

IT Governance, Risk and Compliance Bank and Financial Institution Experience

GRC Consulting Services specializes in IT Governance, Risk Assessment, audit and regulatory compliance with specific focus on NCUA, FDIC, FFEIC, GLBA, Sarbanes Oxley, Payment Card Industry, Personally Identifiable Information laws (PII) and Health Insurance Portability and Accountability Act (HIPAA) regulations.

Credit Unions, banks and Financial Institution Governance, Risk and Compliance (GRC) management programs are under increasing scrutiny from regulators. Because of the potential high risk and costs associated with misinterpreting regulatory requirements, compliance accuracy is crucial. GRC Consulting Services focuses in several areas including:

- Entity level controls – Board of Director and management oversight
- Policies and Procedures
- IT Internal controls
- Automated application controls
- Segregation of duties
- Risk assessments
- Testing
- Training

We offer a risk based approach to regulatory compliance – designing programs that detect compliance violations and provide recommendations for corrective action. No two compliance programs are alike, but a well-planned, implemented and maintained compliance program could prevent or reduce regulatory violations. Our approach allows for:

- ongoing compliance reviews and risk assessments for continuing compliance with laws, regulations, and internal requirements
- assistance in preparing for regulatory exams, examiner meetings and communications with regulators about IT compliance issues
- review and development of policies, procedures and standards to build and sustain compliance.

GRC Consulting provides internal audit, governance, risk and compliance services.

Our compliance professionals have years of focused experience working with financial institutions and regulatory requirements. Our staff holds CPA, CISA, CITP and CISSP certifications. As a result, we are able to provide cost-effective, risk based compliance solutions to our clients.

Please contact Cheryl Klein CPA, CISA, CITP at 503-703-3983 or Cheryl.klein@GRConsultingservices.com for more information.